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Deliver To: Examiner Shi K. Li

Firm Name: U.S. PATENT TRADEMARK OFFICE

Fax Number: 1-571-273-8300 **Telephone No.:** _____

From: Kevin G. Shao **Reg. No.:** 45,095

Date: February 26, 2008 **Time:** Approx. 11:45 a.m. (PST)

BSTZ Matter No. 8433P005 **Number of pages including cover sheet:** 28

In Re Patent Application of: Santosh Kumar Sadananda

Application No.: 10/781,227

Filed: February 17, 2004

Title: METHODS AND APPARATUSES FOR HANDLING MULTIPLE FAILURES IN AN OPTICAL NETWORK

Enclosed are the following documents:

<input checked="" type="checkbox"/> X Amendment/Response (RCE) (19 pgs)	<input type="checkbox"/> Declaration & P of A (____ pgs)	<input type="checkbox"/> Reply Brief (____ pgs)
<input type="checkbox"/> i Appeal Brief (____ pgs) (in triplicate)	<input type="checkbox"/> Drawings: # of sheets w/ ____ figs.	<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> i Utility Application (____ pgs)	<input type="checkbox"/> Month Petition re Ext. of Time)	<input type="checkbox"/> Resp. to Notice of Missing Parts (____ pgs)
<input type="checkbox"/> i 1.53(b) Cont. Application (____ pgs)	<input type="checkbox"/> IDS & PTO 1449 (____ pgs)	<input type="checkbox"/> Transmittal Letter (____ pgs) (in duplicate)
<input type="checkbox"/> i 1.53(b) Divisional Application (____ pgs)	<input type="checkbox"/> Issue Fee Transmittal (____ pgs)	<input checked="" type="checkbox"/> Fee Transmittal (3 pgs) (duplicate)
<input type="checkbox"/> i 1.53(b) CIP Application (____ pgs)	<input type="checkbox"/> Notice of Appeal (____ pgs)	<input type="checkbox"/> Deposit Account Authorization
<input type="checkbox"/> i 1.53(d) RCA Transmittal (____ pgs)	<input type="checkbox"/> Petition re _____ (____ pgs)	<input checked="" type="checkbox"/> Request for Continued Examination (RCE) (1 pg.)
<input type="checkbox"/> i PCT Application (____ pgs)	<input type="checkbox"/> Power of Attorney (____ pgs)	<input type="checkbox"/> Deposit Acct. Authorization
<input type="checkbox"/> i Provisional Application (____ pgs)	<input type="checkbox"/> Declaration by Inventor(s) (____ pgs)	<input checked="" type="checkbox"/> Request for Change of Docket Number
<input type="checkbox"/> i Assignment & Cover Sheet (____ pgs)	<input type="checkbox"/> Supplemental Declaration (____ pgs)	<input type="checkbox"/> Other: _____
<input checked="" type="checkbox"/> X Certificate of Transmission by Fax	<input type="checkbox"/> Preliminary Amendment (____ pgs)	<input type="checkbox"/> Other: _____

CERTIFICATE OF TRANSMISSION

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FEET TRANSMITTAL FOR FY 2008

(Effective on 9/30/2007. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 810.00

Complete if Known:

Application No. 10/781,227
 Filing Date February 17, 2004
 First Named Inventor Santosh Kumar Sadananda
 Examiner Name Li, Shi K.
 Art Unit 2613
 Attorney Docket No. 8433P005

Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify)

Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: _____

The Director is Authorized to do the following with respect to the above-identified Deposit Account:

Charge fee(s) indicated below.

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Credit any overpayments.

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Large Entity	Small Entity	Fee Description	Fees Paid (\$)
Fee Code	Fee Code		
Fee (\$)	Fee (\$)		
1011	310	Utility application filing fee	
1111	510	Utility search fee	
1311	210	Utility examination fee	
			1,030/515
1012	210	Design application filing fee	
1112	100	Design search fee	
1312	130	Design examination fee	
			440/220
1013	210	Plant filing fee	
1113	310	Plant search fee	
1313	160	Plant examination fee	
			680/340
1004	810	Reissue filing fee	
1114	510	Reissue search fee	
1314	620	Reissue examination fee	
			1,940/970
1005	210	Provisional application filing fee	
SUBTOTAL (1)			\$ 0.00

- 1 -

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2. EXCESS CLAIM FEES

Fee Description

<u>Large Entity</u>	<u>Small Entity</u>
<u>Fee</u>	<u>Fee</u>
<u>Code</u>	<u>Code</u>
Fee	Fee
Code	Code
1202 50	2202 25
1201 210	2201 105
1203 370	2203 185
1204 210	2204 105
1205 50	2205 25

Fee Description

Each claim over 20
Each independent claim over 3
Multiple dependent claims, if not paid
Reissue: each claim over 20 and more than in the original patent
Reissue: each independent claim more than in the original patent

	<u>Extra Claims</u>	<u>Fee</u>	<u>Fees Paid (\$)</u>
Total Claims	50	– 52 or HP =	X \$ 50.00 =
		HP = highest number of total claims paid for, if greater than 20	
Independent Claims	6	– 6 or HP =	X \$210.00 =
		HP = highest number of independent claims paid for, if greater than 3	=
Multiple Dependent Claims			
SUBTOTAL (2) \$ 0.00			

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee from below</u>	<u>Fees paid (\$)</u>
	– 100 =	/ 50 = (round up to whole number)	X \$260.00	

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description:</u> Application size fee for each additional group of 50 sheets beyond Initial 100 sheets (count spec & drawings except sequences & program listings):
<u>Fee</u>	<u>Fee</u>	<u>Code</u>
<u>Code</u>	<u>Code</u>	<u>Fee (\$)</u>
1081 260	2081 130	Utility
1082 260	2082 130	Design
1083 260	2083 130	Plant
1084 260	2084 130	Reissue

SUBTOTAL (3) \$ 0.00

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Page 3 of 3

FEE CALCULATION (continued)

4. OTHER FEE(S)

Fees Paid (\$)

Non-English Specification, \$130 fee (no small entity discount)

Large Entity	Small Entity	Fee Description	Fees Paid (\$)
Fee	Fee	Fee	
Code	Code	Code	
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1813	8,800	1813	8,800
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	120	2251	60
1252	460	2252	230
1253	1,050	2253	525
1254	1,640	2254	820
1255	2,230	2255	1,115
1401	510	2401	255
1402	510	2402	255
1403	1,030	2403	515
1451	1,510	1451	1,510
1452	510	2452	255
1453	1,540	2453	770
1501	1,440	2501	720
1502	820	2502	410
1503	1,130	2503	565
1462	400	1462	400
1463	200	1463	200
1464	130	1464	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	810	2809	405
1814	130	2814	65
1810	810	2810	405
1801	810	2801	405
1802	900	1802	900
1504	300	1504	300
1505	300	1505	300
1803	130	1803	130
1808	130	1808	130
1454	1,410	1454	1,410

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (4) \$ 810.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Kevin G. Shao

Signature: 

Date: February 26, 2008

Reg. Number: 45,095

Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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FEB 26 2008

Page 1 of 3

FEES TRANSMITTAL FOR FY 2008

(Effective on 9/30/2007. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 810.00

Complete if Known:

Application No. 10/781,227
Filing Date February 17, 2004
First Named Inventor Santosh Kumar Sadananda
Examiner Name Li, Shi K.
Art Unit 2613
Attorney Docket No. 8433P005

Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify)

Deposit Account

Deposit Account Number: 02-2666

Deposit Account Name: _____

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Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Large Entity	Small Entity	Fee Description	Fees Paid (\$)
Fee Code	Fee Code	Fee Description	
Fee (\$)	Fee (\$)		
1011	310	Utility application filing fee	
1111	510	Utility search fee	
1311	210	Utility examination fee	
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			680/340
1004	810	Reissue filing fee	
1114	510	Reissue search fee	
1314	620	Reissue examination fee	
			1,940/970
1005	210	Provisional application filing fee	
SUBTOTAL (1)			\$ 0.00

-1-
Based on Form PTO/SB/17 (09-07) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 9/27/2007

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T-409 P.006/028 F-004

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Page 2 of 3

2. EXCESS CLAIM FEES**Fee Description****Large Entity** **Small Entity****Fee** **Fee****Code** **Fee**

1202 50

1201 210

1203 370

1204 210

1205 50

Fee**Code**

2202 25

2201 105

2203 185

2204 105

2205 25

Fee Description

Each claim over 20

Each independent claim over 3

Multiple dependent claims, if not paid

Reissue: each claim over 20 and more than in the original patent

Reissue: each independent claim more than in the original patent

Extra Claims**Fee****Fees Paid (\$)**Total Claims 50 - 52 or HP = _____X \$ 50.00 = _____

HP = highest number of total claims paid for, if greater than 20

Independent Claims 6 - 6 or HP = _____X \$210.00 = _____

HP = highest number of independent claims paid for, if greater than 3

Multiple Dependent Claims

_____ = _____

SUBTOTAL (2) \$ 0.00**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee from below</u>	<u>Fees paid (\$)</u>
_____	_____	/ 50 = _____ (round up to whole number)	X <u>\$260.00</u>	_____

Large Entity **Small Entity****Fee** **Fee****Code** **Fee**

1081 260

1082 260

1083 260

1084 260

Fee**Code**

2081 130

2082 130

2083 130

2084 130

Fee Description: Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings):

Utility

Design

Plant

Reissue

SUBTOTAL (3) \$ 0.00

FEE CALCULATION (continued)**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

<u>Large Entity</u>	<u>Small Entity</u>		<u>Fees Paid (\$)</u>
Fee	Fee	Fee	
Code	Code	Code	
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1813	8,800	1813	8,800
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1805	1,840*	1805	1,840*
1251	120	2251	60
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1401	510	2401	255
1402	510	2402	255
1403	1,030	2403	515
1451	1,510	1451	1,510
1452	510	2452	255
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1810	810	2810	405
1801	810	2801	405
1802	900	1802	900
1504	300	1504	300
1505	300	1505	300
1803	130	1803	130
1808	130	1808	130
1454	1,410	1454	1,410
			Acceptance of unintentionally delayed claim for priority
Other fee (specify)			
Other fee (specify)			
			SUBTOTAL (4) \$ 810.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Kevin G. ShaoSignature: K-GS Date: February 26, 2008Reg. Number: 45,095 Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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T-409 P.028/028 F-004

Attorney Docket No.: 8433P005
 Confirmation No.: 2364

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Examiner: Li, Shi K.
Santosh Kumar Sadananda)	Art Unit: 2613
Serial No.: 10/781,227)	
Filed: February 17, 2004)	
For: METHODS AND APPARATUSES FOR HANDLING MULTIPLE FAILURES IN AN OPTICAL NETWORK)	

CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence is being facsimile
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 Li, at the U.S. Patent & Trademark Office
 on February 26, 2008
 Date of Deposit

By: Dianne Neathery
 Dianne Neathery

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

REQUEST FOR CHANGE OF ATTORNEY DOCKET NUMBER

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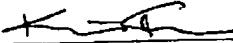
Please change the attorney docket number of the above-identified patent application to the following:

From: 6518P005
To: 8433P055

Please update the Patent Office records to reflect the new Attorney Docket Number. Please charge Deposit Account 02-2666 for any additional charges that may be due.

Respectfully submitted,
 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: February 26, 2008


 Kevin G. Shao
 Reg. No. 45,095

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 Sunnyvale, CA 94085-4040
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